

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>CHOPRA VIKAS</u> <hr/> (Last) (First) (Middle) <u>192 W GREYSTONE RD</u> <hr/> (Street) <u>OLD BRIDGE NJ 08857</u> <hr/> (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>08/13/2024</u>	3. Issuer Name and Ticker or Trading Symbol <u>EMCORE CORP [EMKR]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
<u>Common stocks</u>	<u>978,600</u>	<u>D</u>	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date				

Explanation of Responses:

Remarks:

"Explanation for Late Filing of Form 3 To the Securities and Exchange Commission, I, Vikas Chopra, am filing this Form 3 to report my ownership of EMCORE Corporation (EMKR) securities, which exceeded 10% of the company's outstanding shares. As a first-time investor, I was unaware of the filing requirement until contacted by Interactive Brokers, my broker. They inquired about potential affiliation with EMCORE, which I denied, having no connection to the company. Upon further research, I discovered the SEC reporting obligation. Despite my broker's notification, they did not provide clear guidance on the necessary actions. This late filing results solely from my unfamiliarity with SEC regulations. I have taken immediate steps to rectify the situation and ensure future compliance. I request consideration of this explanation and waiver of potential penalties. Sincerely, Vikas Chopra"

vikas chopra

10/02/2024

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.