FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROV | Δ | V | O) | R | Р | Р | Α | ΛB | ЛC | (|
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| OMB Number: | 3235-0287 |
|--------------------------|-----------|
| Estimated average burden | |
| hours per response: | 0.5 |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | . , | | | | | |
|----------------------------|-------------|--|---|-----------------------------|-------------------------|--|--|
| 1. Name and Address of Rep | • | 2. Issuer Name and Ticker or Trading Symbol EMCORE CORP [EMKR] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| DOMENIK STEPHEN L | | [| X | Director | 10% Owner | | |
| - | | | X Director 10% Own Officer (give title below) below) below) | | Other (specify | | |
| (Last) (Firs | t) (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | | below) | below) | | |
| C/O EMCORE CORPO | RATION | 03/19/2020 | | | | | |
| 2015 W. CHESTNUT S | TREET | | | | | | |
| (Street) | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv | idual or Joint/Group Filing | (Check Applicable Line) | | |
| ALHAMBRA | 91803 | | X | Form filed by One Repo | rting Person | | |
| ALHAMDKA | 91803 | | | Form filed by More than | One Reporting Person | | |
| | | | | | | | |
| (City) (Stat | te) (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr.) 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|---|---|--|---------------|-------|--|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 03/19/2020 | | М | | 14,062 | A | (1) | 44,778 | D | |
| Common Stock | | | | | | | | 10,000 | I | By the Stephen and Christine Domenik Trust, Stephen and Christine Domenik, Trustees |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | Date | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transac Code (li 8) | | 5. Number Derivative Securities Acquired Disposed (Instr. 3, 4 | (A) or of (D) | Expiration Date (Month/Day/Year) | | 7. Title and An Securities Und Derivative Sec 3 and 4) | derlying | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|------------|---|---------------------------------|---|---|------------------|-------------------------------------|--------------------|---|-------------------------------------|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) (Instr. 4) | | |
| Restricted Stock Units | (2) | 03/19/2020 | | М | | | 14,062 | (3) | (3) | Emcore Common Stock | 14,062 | (1) | 0 | D | |
| Restricted Stock Units | (4) | 03/20/2020 | | A | | 66,095 ⁽¹⁾ | | (5) | (5) | Emcore Common Stock | 66,095 | (1) | 66,095 | D | |

Explanation of Responses:

- 1. The restricted stock units were awarded to the Reporting Person for no cash or other similar consideration for his service as a non-employee director.
- 2. Each restricted stock unit represented a contingent right to receive one share of Emcore common stock. Restricted stock units were payable, at the election of the Issuer, in cash, Emcore common stock, or a combination of the two.
- 3. Not later than 30 days after vesting occurs, vested shares of Emcore common stock, an amount in cash equal to their fair market value or a combination of both will be delivered to the Reporting Person.
- 4. Each restricted stock unit represents a contingent right to receive one share of EMCORE common stock.
- 5. The restricted stock unit were issued pursuant to the EMCORE Corporation 2019 Equity Incentive Plan in accordance with EMCORE's Director Compensation Policy. The restricted stock units vest on the earlier of March 20, 2021 or the day prior to the Issuer's 2021 annual meeting of shareholders.

Remarks:

/s/ Ryan Hochgesang, attorney in fact

** Signature of Reporting Person

03/23/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.