



## EMCORE Corporation California Transparency in Supply Chains Act of 2010 Statement

The California Transparency in Supply Chains Act of 2010 (SB 657) requires companies that manufacture or sell products and operate in the State of California to provide information regarding their efforts to eradicate slavery and human trafficking in their direct supply chains. The law was designed to increase consumers' knowledge about products they buy and the companies they choose to support.

EMCORE Corporation ("EMCORE") is committed to complying with applicable law wherever we operate and conducting all business activities in accordance with the highest ethical standards. We expect the same of the parties with which we do business. Our ethical standard is reflected in our Code of Business Conduct and Ethics ("Code") and our Employee Handbook ("Handbook"), which outline our expectations of ethical conduct and compliance with all applicable laws from our employees, agents and other representatives.

In addition to our Code and Handbook, EMCORE maintains a 24/7 whistleblower hotline where employees can anonymously submit any concerns of possible ethics and compliance violations, including slavery and human trafficking. Every concern submitted to this hotline is reviewed and handled appropriately. Any employee or contractor who is found to have violated the Code or Handbook is subject to disciplinary action, up to and including termination of employment or contract, as the case may be, and referral to appropriate legal authorities.

EMCORE expects its suppliers to comply with legal requirements and operate consistently with the principles of the Code when working on our behalf or for our benefit. Accordingly, we consider these issues when we assess potential suppliers and require suppliers to represent in the applicable supply agreement that their performance under such agreement will be in compliance with all applicable laws and regulations. Upon EMCORE's request, each of our suppliers shall permit EMCORE to audit its practices and procedures and shall provide EMCORE with any information reasonably requested. In addition, EMCORE is an active member of the Electronic Industry Citizenship Coalition (EICC) and has adopted the EICC Code of Conduct, which prohibits the use of forced, bonded, indentured labor or prison labor. While EMCORE does not have a formal verification program, we do perform periodic audits of suppliers to evaluate and address issues relating to compliance with laws and regulations and EMCORE's policies, processes and procedures.